



See for \$

PATENT  
ATTORNEY DOCKET NO. 08810/008001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Kambe et al.  
Serial No.: 08/962,362  
Filed : October 31, 1997  
Title : PHOSPHORS

Art Unit: 2774  
Examiner:

**Box Missing Parts**

Assistant Commissioner for Patents  
Washington, DC 20231

TRANSMITTAL LETTER

Attached please find correspondence relating to the  
above application:

1. Response to Notice to File Missing Parts of Application (2 pages);
2. Part 2 - Notice to File Missing Parts of Application (1 page);
3. Check in the amount of \$395.00 (basic filing fee);
4. Check in the amount of \$41.00 (additional claims fee);
5. Combined Declaration and Power of Attorney (2 pages)
6. Check in the amount of \$65.00 (surcharge);
7. Verified Statement Claiming Small Entity Status (1 page); and

Date of Deposit March 23, 1998  
I hereby certify under 37 CFR 1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Chantal R. Billodean  
Chantal R. Billodean



#4

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RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

Responsive to the Notice to File Missing Parts of  
Application under 37 CFR 1.53(d) mailed February 26, 1998 (a copy  
of which is enclosed), Applicant as a small entity submits  
herewith the following:

Payment of the basic filing fee of \$395.00.

Payment of the additional claims fee of \$41.00.

A Combined Declaration and Power of Attorney in  
compliance with 37 CFR 1.63.

Payment of the surcharge of \$65.00 for late  
filing of the basic filing fee and  
declaration.

Verified Statement Claiming Small Entity Status.

It is understood that this perfects the application and  
no additional papers or filing fees are required. If there are

see pd on papers #2.

Date of Deposit March 23, 1998  
I hereby certify under 37 CFR 1.8(a) that this correspondence is being  
deposited with the United States Postal Service as first class mail  
with sufficient postage on the date indicated above and is addressed to  
the Assistant Commissioner for Patents, Washington, D.C. 20231.

Chantal R. Billadeau  
Chantal R. Billadeau

any other charges, or any credits, please apply them to Deposit  
Account No. 06-1050.

Respectfully submitted,

Date: March 23, 1998

Peter S. Dardi  
Peter S. Dardi, Ph.D.  
Reg. No. 39,650

Fish & Richardson P.C., P.A.  
60 South Sixth Street, Suite 3300  
Minneapolis, MN 55402

Telephone: 612/335-5070  
Facsimile: 612/288-9696  
13783.M11

8. Postcard.

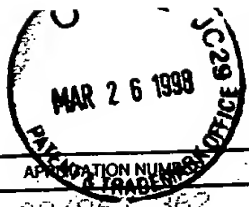
Respectfully submitted,

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Peter S. Dardi  
Peter S. Dardi, Ph.D.  
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Fish & Richardson P.C., P.A.  
60 South Sixth Street, Suite 3300  
Minneapolis, MN 55402

Telephone: 612/335-5070  
Facsimile: 612/288-9696  
13782.M11



Patent and Trademark Office  
Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER 08/962,362	FILING/RECEIPT DATE 10/31/97	FIRST NAMED APPLICANT FISH & RICHARDSON	ATTORNEY DOCKET NO./TITLE 008101
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0222/0226

NOT ASSIGNED

FISH & RICHARDSON  
3300 DAIN BOSWORTH PLAZA  
60 SOUTH SIXTH STREET  
MINNEAPOLIS MN 55402

2774

02/26/98

DATE MAILED:

### NOTICE TO FILE MISSING PARTS OF APPLICATION Filing Date Granted

An Application Number and Filing Date have been assigned to this application. However, the items indicated below are missing. The required items and fees identified below must be timely submitted ALONG WITH THE PAYMENT OF A SURCHARGE for items 1 and 3-6 only of \$ 130 for a ☒ large entity ☐ small entity in compliance with 37 CFR 1.27. The surcharge is set forth in 37 CFR 1.16(e). Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required above to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☒ large entity ☐ small entity (verified statement filed), is \$ 4002.

- ☒ 1. The statutory basic filing fee is:  
☒ missing.  
☐ insufficient.

Applicant must submit \$ 790 to complete the basic filing fee and/or file a verified small entity statement claiming such status (37 CFR 1.27).

- ☒ 2. Additional claim fees of \$ 82, including any multiple dependent claim fees, are required. Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

- ☐ 3. The oath or declaration:

- ☐ is missing.  
☐ does not cover the newly submitted items.  
☐ does not identify the application to which it applies.  
☐ does not include the city and state or foreign country of applicant's residence.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

- ☐ 4. The signature(s) to the oath or declaration is/are:

- ☐ missing.  
☐ by a person other than inventor or person qualified under 37 CFR 1.42, 1.43, or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

- ☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

- ☐ 6. A \$ \_\_\_\_\_ processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

- ☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

- ☐ 8. The application does not comply with the Sequence Rules.

See attached "Notice to Comply with Sequence Rules 37 CFR 1.821-1.825."

- ☐ 9. OTHER:

Direct the response and any questions about this notice to "Attention: Box Missing Parts."

**A copy of this notice MUST be returned with the response.**

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

4-26-98  
8-26-98  
30/1998 NVILLARI 00000015 08962362  
OF EC:201  
FC:2021

395.00 OP  
41.00 OP  
65.00 OP